

2654

09/612,136

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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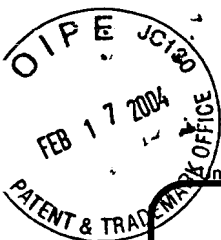
<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	PER ATTACHED
	Filing Date	
	First Named Inventor	RECEIVED
	Group Art Unit	FEB 20 2004
	Examiner Name	TC 2600
Total Number of Pages in This Submission	Attorney Docket Number	

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		RECEIVED FEB 19 2004 Technology Center 2600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	MARC D. MCSWAIN
Signature	Marc D. McSwain
Date	02/02/04

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on this date: 02/02/04		
Typed or printed name	RAMANI M PERISI	
Signature		Date 02/02/04

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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# FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 0

## Complete if Known

Application Number	PER ATTACHED
Filing Date	RECEIVED
First Named Inventor	
Examiner Name	FEB 19 2004
Group Art Unit	
Attorney Docket No.	Technology Center 2400

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **090441**
- Deposit Account Name **IBM Corporation**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 0

### 2. EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from below	Fee Paid
Independent	Multiple Dependent	-20** =	-3** =		
				X	0
				X	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) PUBLICATION					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

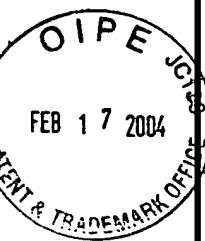
## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	MARC D. MCSWAIN	Registration No. (Attorney/Agent)	44,929	Telephone	(408) 927-3364
Signature	Marc D. McSwain	Date	02/02/04		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Docket	Appl Number	Filing Date	TITLE
JP919990118US1	09/612136	07/07/2000	METHOD AND SYSTEM TO ANALYZE DATA
JP919980198US1	09/520501	03/08/2000	METHOD AND APPARATUS FOR COMPUTING THE PROBABILITY OF DEFAULT
CH920020002US1	10/440692	05/19/2003	DATA OVERWRITING IN PROBE-BASED DATA STORAGE DEVICES
CH920010064US1	10/743249	12/22/2003	Safety Stock Determination
DE920020031US1	10/334892	12/31/2002	DATABASE LOGS COORDINATION FOR AUTONOMIC RECOVERY OF RELATED SET OF APPLICATION COMPONENTS
CA920000066US1	10/011649	12/03/2001	Recipient Address Masking in Computer Communications

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Technology Center 2600



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#8  
a17  
3/4/04

In re application of: NAGANO, T

Serial No.: 09/612,136

Group Art Unit:

Filed: 07/07/2000

Examiner:

Atty. Docket: JP919990118US1

Conf. No.:

Title:

METHOD AND SYSTEM TO ANALYZE DATA

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FEB 19 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Technology Center 2600

Dear Sir:

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST  
(REVOCATION OF PRIOR POWERS)**

As assignee of record of the entire interest of the above-identified application,

**REVOCATION OF PRIOR POWERS OF ATTORNEY**

all powers of attorney previously given are revoked and

**NEW POWER OF ATTORNEY**

the following attorneys and/or agents are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith:

T. Rao Coca	(#29,784)
Richard Goldman	(#25,585)
Leonard T. Guzman	(#46,308)
Daniel E. Johnson	(#37,033)
Mark C. McCabe	(#53,291)
Marc D. McSwain	(#44,929)

**ASSIGNEE CERTIFICATION**  
**"CERTIFICATION UNDER 37 C.F.R. 3.73(b)"**

IBM Corporation certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of:

An assignment from the inventor(s) of the patent application identified above. An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office and a copy is attached. The undersigned has reviewed all the documents in the chain of title of the patent application identified above, and to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

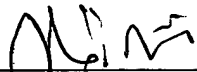
I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**CORRESPONDENCE ADDRESS**

Direct all phone calls to (408) 927-3364 and send all correspondence to:

Mark C. McCabe  
IBM Corporation Almaden Research Center  
Intellectual Property Law (C4TA/J2B)  
650 Harry Road  
San Jose, CA 95120-6099

Date: 2-2-2004

Signature: 

Name: Abdi H. Dirie

Title: Manager, IBM Washington Intellectual Property Law Department

Phone: (703)299-1464



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LETTER OF AUTHORITY

I, Gerald Rosenthal, Vice President, Intellectual Property and Licensing of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright Offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney; authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; request for the registration of patents as available for licensing; reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Abdi H. Dirie, Manager, Washington Intellectual Property Law.

Date:

Aug. 4, 2004

Gerald Rosenthal  
Vice President, Intellectual Property & Licensing  
International Business Machines Corporation